

**PATENT APPLICATION TRANSMITTAL LETTER**  
(Large Entity)

Docket No.  
14846-23

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

**John Kevin Phenix & Nicholas Clive Judge**

For: **System and Method for Representing A Relational Database As a Java Object**

22154 U.S. PTO  
10/667650  
09/22/03

Enclosed are:

- Certificate of Mailing with Express Mail Mailing Label No. **ER 258026015 US**
- 21 sheets of drawings.
- A certified copy of a application.
- Declaration  Signed.  Unsigned.
- Power of Attorney
- Information Disclosure Statement
- Preliminary Amendment
- Other: **PETITION TO MAKE SPECIAL; ASSIGNMENT & RECORDATION FORMS**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
<b>Total Claims</b>	16	- 20 =	0	x \$18.00	\$0.00
<b>Indep. Claims</b>	1	- 3 =	0	x \$84.00	\$0.00
<b>Multiple Dependent Claims (check if applicable)</b>	<input type="checkbox"/>				\$0.00
				<b>BASIC FEE</b>	\$750.00
				<b>TOTAL FILING FEE</b>	\$750.00

- A check in the amount of to cover the filing fee is enclosed.
- The Director is hereby authorized to charge and credit Deposit Account No. **501358** as described below.
  - Charge the amount of **\$750.00** as filing fee.
  - Credit any overpayment.
  - Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: 9/ 22/2003

  
Signature  
**MICHAEL B. JOHANNESEN, ESQ. REG. NO. 35,557**

**ATTORNEY FOR APPLICANTS**

**Lowenstein Sandler, P.C.**  
65 Livingston Avenue  
Roseland, NJ 07068  
973-597-6140

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): *John Phoenix, Nicholas Clive Judge*

Docket No.

14846-23

Serial No.  
60/471,309Filing Date  
5/16/2003

Examiner

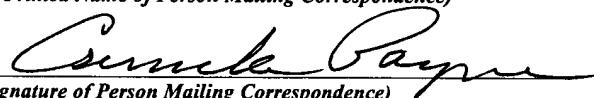
Group Art Unit

Invention: **System and Method for Representing A Relational Database As A Java Object**

I hereby certify that the following correspondence:

**Utility Patent Application Transmittal with Fee Authority; Non-provisional patent application; Drawings Figs.; Petition to Make Special; Declaration and Power of Attorney; Assignment & Recordation Form; IDS and cited References; Certificate of Mailing by Express Mail bearing no: ER 25802605 US***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

9/22/2003*(Date)***CAMILLE PAYNE***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***ER 25802605 US***("Express Mail" Mailing Label Number)*

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

\$790.00

## Complete if Known

Application Number	60/471,309
Filing Date	5/16/2003
First Named Inventor	John K. Phenix, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	14846-23

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number **Lowenstein Sandler, P.C.**  
 Deposit Account Name **501358**

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee		750.00	
1002 330	2002 165	Design filing			
1003 520	2003 260	Plant filing fee			
1004 750	2004 375	Reissue filing			
1005 160	2005 80	Provisional filing fee			
SUBTOTAL (1)		(\$)		\$750.00	

## 2. EXTRA CLAIM FEES FOR UTILITY AND

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	0	X = 0.00
Independent Claims	- 3** =	0	X = 0.00
Multiple Dependent			

Large Entity	Small Entity	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$)
		\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non - English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR § 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Statement	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)		Recordation of Assignment	40.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

\$40.00

## SUBMITTED BY

Name (Print/Type)	Michael B. Johannessen, Esq.	Registration No. (Attorney/Agent)	35,557	Telephone	973-597-6140
Signature	<i>Michael B. Johannessen</i>		Date	9/22/2003	

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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